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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/756,427	01/08/2001	Koichi Hayakawa	SONY-T1058

CONFIRMATION NO. 5517

## FORMALITIES LETTER



\*OC000000005854369\*

Charles P. Sammut  
Attorney At Law  
350 Woodside Avenue  
Mill Valley, CA 94941-3822

Date Mailed: 03/13/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

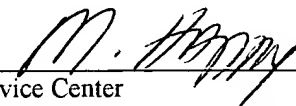
*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- Total additional claim fee(s) for this application is \$36.
  - \$36 for 5 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 166.

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*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/16/2001 NROCHA1 00000018 09756427

01 FC:105  
02 FC:103130.00 OP  
36.00 OP



**TRANSMITTAL LETTER**  
**(General - Patent Pending)**

Docket No.  
112857-247

In Re: Application of: Koichi Hayakawa

Serial No.  
09/756,427

Filing Date  
January 8, 2001

Examiner

Group Art Unit

Title: **INFORMATION PROCESSING APPARATUS AND METHOD, AND RECORDING MEDIUM THEREFOR**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is:

**Certified copy of Japanese Priority Document 2000-005561**  
**Formal Drawings Figures 1-21 on twenty (20) sheets**

in the above identified application.

- ☒ No additional fee is required.
- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. **02-1818** as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☐ Charge any additional fee required.

  
Signature

Dated: May 11, 2001

Michael S. Leonard (Reg. No. 37,557)  
Bell, Boyd & Lloyd LLC  
P.O. Box 1135  
Chicago, Illinois 60690

I certify that this document and fee is being deposited on May 11, 2001, with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

  
Signature of Person Mailing Correspondence

**Robert Buccieri**

Typed or Printed Name of Person Mailing Correspondence

CC:



H.A

SECT.

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.  
112857-247

#3

Application Of: Koichi Hayakawa

Serial No.	Filing Date	Examiner	Group Art Unit
09/756,427	January 8, 2001		

Invention: **INFORMATION PROCESSING APPARATUS AND METHOD, AND RECORDING MEDIUM  
THEREFOR**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on  
March 13, 2001  
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☐ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☒ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☐ Other (list):

Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)

Docket No.  
112857-247

In Re Application Of: Koichi Hayakawa

Serial No.  
09/756,427

MAY 14 2001

Filing Date  
January 8, 2001

Examiner

Group Art Unit

Invention: INFORMATION PROCESSING APPARATUS AND METHOD, AND RECORDING MEDIUM  
THEREFOR

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

☒ Completion of application fees as calculated below:

☐ Utility application filing fee

☐ Design application filing fee

☐ Total number of independent claims =

☒ Total number of claims = 2

\$36.00

☐ Multiple dependent claims

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath

\$130.00

☐ Petition and fee for filing by other than all the inventors or a person not the inventor

☐ Fee for processing an application filed with a non-English language specification

☐ Fee for processing and retention of application

Total completion of application fees \$166.00

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month ☐ Two months ☐ Three months ☐ Four months ☐ Five months

from: \_\_\_\_\_ until: \_\_\_\_\_  
Date Date

Total time extension fees

Total fees due \$166.00

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Large Entity)**

Docket No.  
112857-247

In Re Application Of: **Kazuhiko Hayakawa**

Serial No. **MAY 14 2001**  
09/756,427 Filing Date  
January 8, 2001

Examiner

Group Art Unit

Invention: **INFORMATION PROCESSING APPARATUS AND METHOD, AND RECORDING MEDIUM  
THEREFOR**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

The fee of **\$166.00** is to be paid as follows:

- ☒ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **02-1818**  
A duplicate copy of this sheet is enclosed.
- ☐ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No.  
A duplicate copy of this sheet is enclosed.

  
Signature

Michael S. Leonard (Reg. No. 37,557)  
Bell, Boyd & Lloyd LLC  
P.O. Box 1135  
Chicago, Illinois 60690

Dated: **May 11, 2001**

I certify that this document and fee is being deposited  
on **May 11, 2001** with the U.S. Postal Service as  
first class mail under 37 C.F.R. 1.8 and is addressed to the  
Assistant Commissioner for Patents, Washington, D.C.  
20231.

  
Signature of Person Mailing Correspondence

**Robert Buccieri**

Typed or Printed Name of Person Mailing Correspondence

CC: